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Docket No.: ANVI-P01-003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Patrick E. Hoffman

Application No.: 10/077692

Filed: February 15, 2002

For: METHOD AND SYSTEM FOR DATA

ANALYSIS

Group Art Unit: 2857

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents Washington, DC 20231

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of these documents accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued <u>June 12, 2002</u>, during the prosecution of international application no. PCT/US02/12491, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report and each document cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

Application No.: 10/077692 Docket No.: ANVI-P01-003

The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ANVI-P01-003. A duplicate copy of this paper is enclosed.

Dated: August 7, 2002

Respectfully submitted,

Paul E. Lewkowicz

Registration No.: 44,870

ROPES & GRAY

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Attorneys for Applicant



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PTO/SB/08A (10-01)
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Su	Substitute for form 1449A/PTO		Complete if Known			
				Application Number	10/077692	12
	NFORMATIC	N DISC	CLOSURE	Filing Date	February 15, 2002	
5	STATEMENT BY APPLICANT			First Named Inventor	Patrick E. Hoffman	<u> </u>
				Art Unit	2857	-3
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	- R
Sheet	1	of	3	Attorney Docket Number	ANVI-P01-003	280

		1	U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	6002694-A1	12-14-1999	YOSHIZAWA ET AL	col. 16, lines 28-39
	AB	6173239-B1	01-09-2001	ELLENBY	col. 5, lines 1-4, col. 8, lines 48-51
	AC	5548749-A1	08-20-1996	KROENKE ET AL	col. 2, lines 55-57
	AD	6281820-B1	08-28-2001	FIELDS	col. 2, lines 48-49, col. 4, lines 47-48, col. 4, lines 62-66, col. 5, lines 23-25
	AE	5694524-A1	12-02-1997	EVANS	col. 14, lines 41-53
	AF	5517511-A1	05-14-1996	HARDWICK ET AL	col. 4, lines 53-56, col. 11, lines 33-35, col., 12, lines 61-64
	AG	5740270-A1	04-14-1998	RUTENBERG ET AL	col. 7, lines 61-65, col. 16, lines 63-65, col. 18, lines 56-59
	АН	5594897-A1	01-14-1997	GOFFMAN	col. 9, lines 3-6, col., 13. lines 29-33
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	AU	4686329-B1	08-11-1987	JOYCE	col. 1, lines 21-23, col. 4, lines 60-62
	AV	5937400-A1	08-10-1999	AU	col. 7, lines 50-55, col. 18, lines 20-24
	AW	2002/0059265-A1	05-16-2002	FLEMING ET AL	page 2, paragraph 0017
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	AY	4023098-B1	05-10-1977	ROTH	col. 3, lines 6-8
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	AA1	6240066-B1	05-29-2001	NAGARAJAN ET AL	col. 4, lines 36-39
	AB1	5721865-A1	02-24-1998	SHINTANI ET AL	col. 33, lines 58-61
	AC1	5822751-A1	10-13-1998	GRAY ET AL	col. 5, lines 36-42
	AD1		08-14-2001	KOZLOWSKI ET AL	col. 14, lines 52-62
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Sut	ostitute for form 1449A/PTO				Complete if Known		
				Application Number	10/077692	חרכ	
11	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	February 15, 2002	HEC	EIVED
5				First Named Inventor	Patrick E. Hoffman	NOV	0 8 2002
				Art Unit	2857		0 8 2002
				Examiner Name	Not Yet Assigned	TECH CENT	ER 1600/2900
Sheet	2	of	3	Attorney Docket Number	ANVI-P01-003	TEOTTOLIN	LN 1000/2900
	AG1 6067096-A1		05-23-2000 N	IAGLE	col. 2, line	s 5-9, col. 9,	

AGT	6067096-A1	05-23-2000	INAGLE	lines 51-54
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Al1	6389425-B1	05-14-2002	DEMICHIEL ET AL	col. 9, lines 42-46
AJ1	3984671-B1	10-05-1976	FLETCHER ET AL	col. 8, lines 1-4, col. 8, lines 10-20
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AL1	6277981-B1	08-21-2001	TU ET AL	col. 7, lines 47-50

		FOREIG	GN PATENT I	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (fl known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	₽°

Examiner	Date	
Signature	Considered	

^{*}EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901 04 ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible ⁶ Applicant is to place a check mark here if English language Translation is attached

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Su	Substitute for form 1449B/PTO			Complete if Known	25	
				Application Number	10/077692	00
11	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 15, 2002	RECEIVED
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				Group Art Unit	2857	NUV 0 8 2002
(use as many sheets as necessary)			necessary)	Examiner Name	Not Yet Assigned	TECH OFATER
Sheet	3	of	3	Attorney Docket Number	ANVI-P01-003	TECH CENTER 1600/2900

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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First Named Inventor	Patrick E. Hoffman	RE	CEIVED
Group Art Unit	2857	Nu	V 0 8 2002
Examiner Name	Not Yet Assigned	TECHICA	L NTER 1600/29
Attorney Docket Number	ANVI-P01-003	TLOTTO	1911 EN 1000/25

ENCLOSURES (check all that apply)					
Fee Transm	ittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group		
Fee A	ttached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment	t/Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Bnef)		
After F	Final	Petition	Proprietary Information		
Affida	vits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter		
Extension o	f Time Request	Power of Attorney, Revocation Change of Correspondence Address	X Other Enclosure(s) (please identify below)		
Express Abandonment Request		Terminal Disclaimer	Copies of References cited		
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Response to Incomplete	o Missing Parts/ Application	Remarks			
Response to Missing Parts under 37 CFR 1.52 or 1.53					
	SIGNA	ATURE OF APPLICANT, ATTORNEY, OR A	GENT		
Firm <i>or</i> Individual Name	ROPES & GRAY Paul E. Lewkowicz				
Signature	August 7, 2002	? Lever	44,870		
Date	August 7, 2002 .				

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